



**Northeastern**  
U N I V E R S I T Y

Alpha-Type and Exhaustive Weakly Wandering  
Sequences for Ergodic Infinite Measure Preserving  
Transformations

2007-2008

Throughout this talk

$(T, X, \mathcal{B}, \mu)$

- $(X, \mathcal{B}, \mu)$ :  $\sigma$ -finite, nonatomic, Lebesgue Space
- $\mu(X) = \infty$
- $T$ : Ergodic, Invertible, Measure-Preserving Transformation

## Definition

- $\{n_i : i = 0, 1, 2, \dots\}$  a sequence of integers ( $n_i \neq n_j$  for  $i \neq j$ )
- $W$  a measurable set in  $X$

are **Exhaustive Weakly Wandering** for  $T$  if

$$X = \bigcup_{i=0}^{\infty} T^{n_i} W \quad (\text{Exh.})$$

$$T^{n_i} W \cap T^{n_j} W = \emptyset, i \neq j \quad (\text{W.W.})$$

$\{n_i\}$  is the **Ex.W.W. Sequence**.

$W$  is the **Ex.W.W. Set**.

## Theorem (Jones - Krengel)

*Every ergodic, infinite measure preserving transformation has Exhaustive Weakly Wandering sets and sequences.*

However, for many (most) transformations no Exhaustive Weakly Wandering set or sequence is known.

## Definition

$T$  is of  **$\alpha$ -type**, for  $0 \leq \alpha \leq 1$ , if

$$\limsup_{n \rightarrow \infty} \mu(T^n A \cap A) = \alpha \cdot \mu(A)$$

for all  $A$  satisfying  $\mu(A) < \infty$ .

To date, the only known Alpha-types for which specific Exhaustive Weakly Wandering sequences and sets are explicitly known are for

$$\alpha = \frac{n-1}{n}$$

To date, no Exhaustive Weakly Wandering sequence is known to work for two different Alpha-Types.

# Main Theorem

## Theorem

*There exists a sequence  $\mathbb{B} = \{b_0, b_1, \dots\}$ .  $b_i \neq b_j$  for  $i \neq j$  such that there exists a family of maps  $T_\alpha$ ,  $0 \leq \alpha \leq 1$  so that for all  $\alpha$*

- *$T_\alpha$  is ergodic infinite measure preserving*
- *$\mathbb{B}$  is an Exhaustive Weakly Wandering sequence for all  $T_\alpha$*
- *All the Exh.W.W. sets  $W_\alpha$  have measure one*
- *$T_\alpha$  is of  $\alpha$ -type*

# The Sequence $\mathbb{B}$ is a Direct Sum

The sequence  $\mathbb{B}$  is a **Direct Sum** (Finite Sum of Finite Sets)

$$\mathbb{B} = \bigoplus_{n=1}^{\infty} \mathbb{B}_n$$

$$\mathbb{B}_1 = \{0, 2\}$$

$$\mathbb{B}_2 = \{0, 16, 32, 48, 64, 80, 96, 112\}$$

$$\mathbb{B}_3 = \{0, 1024, 2048, 3072, 4096, 5120 \dots 130048\}$$

$$\vdots$$

$$\mathbb{B}_k = \{0, b_k, 2 \cdot b_k, 3 \cdot b_k, \dots, (2^{2^k - 1} - 1) \cdot b_k\}$$

$$\text{where } b_k = 2^k \cdot \prod_{j=0}^{k-1} 2^{2^j + j - 1}$$

# Ohio-State - An Example of Hajian-Kakutani

The fundamental ideas of the proofs can be illustrated with a simple example.

In fact it is the first known example for the two concepts of Alpha-type and Exhaustive Weakly Wandering.

Specifically,

- Alpha-type for  $\alpha = \frac{1}{2}$
- The Ex.W.W. set is displayable and has measure 1
- The Ex.W.W. sequence is definable and is

$$\mathbb{B} = IP\{2^{2n+1}\} = \{0, 2, 8, 10, \dots\}$$

0 corresponds to the empty sum

The example will be presented Three ways.

- 1 a Cutting, Stacking, Spacer construction
- 2 a Skyscraper construction
- 3 an Adding Machine construction

- 1 The Cutting, Stacking, Spacer construction will allow the Alpha-type to be controlled.
- 2 The Skyscraper construction will allow the Exhaustive Weakly Wandering set to be displayed.
- 3 The Adding Machine construction will allow control of the Exhaustive Weakly Wandering sequence

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# Construction - Cut, Add Spacers, Stack

Stage 1: Start with the Interval  $[0, 1)$

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Cut in half



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Add 2 spacers - on Right Column



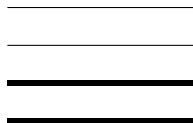
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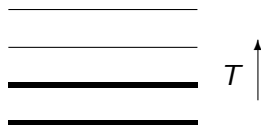
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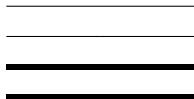
Stack Right-column onto Left

The Transformation  $T$  goes up



# Construction - Cut, Add Spacers, Stack

Stage 2: Start with previous column



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Add  $2^3$  spacers - on Right Column



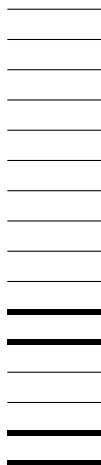
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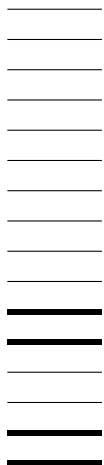
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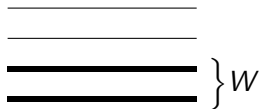
$T$  ↑

# $n^{\text{th}}$ Stage of Constuction

- 1 Cut previous column in half.
- 2 Add  $2^n$  spacers on right subcolumn.
- 3 Stack right column on top of left column.
- 4  $T$  defined going up the column.

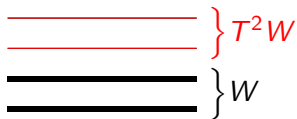
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At Stage 1 we can "see"  
 $W$  and  $T^2W$  "filling" the space



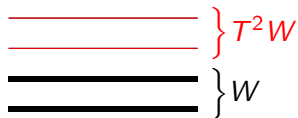
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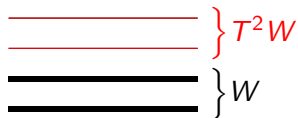


At Stage 2,  $W$ ,  $T^2W$  and  $T^8W$   
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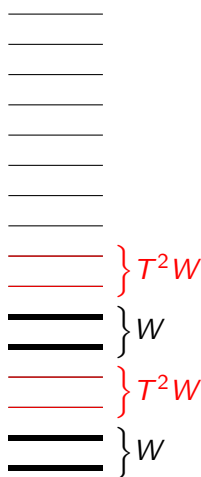


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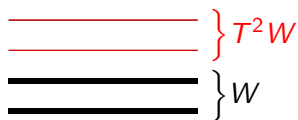


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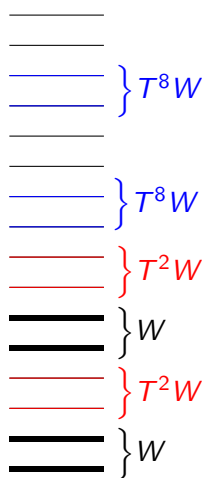


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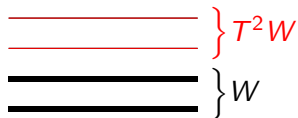


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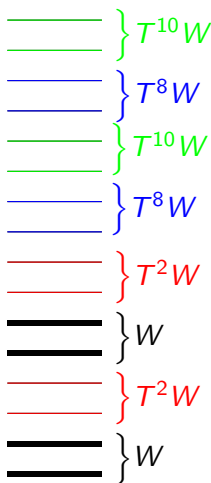


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# Exhaustive Weakly Wandering Sequence for Ohio-State

So far the Ex.W.W. sequence  
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$$\{0, 2, 8, 10\}$$

This can be derived as

$$\{0, 2\} \oplus \{0, 8\}$$



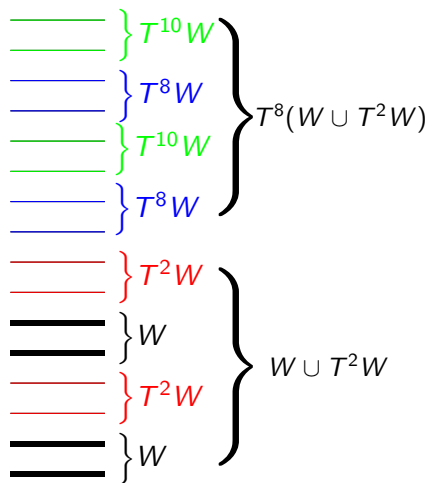
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# Exhaustive Weakly Wandering Sequence for Ohio-State

The Exhaustive Weakly Wandering Sequence for the Ohio State Example is a Direct Sum.

$$\bigoplus_{n=1}^{\infty} \{0, 2^{2n-1}\} = \bigoplus_{n=0}^{\infty} 2^{2n} \{0, 2\}$$

$2^{2n-1}$  is the number of spacers being added at the  $n^{\text{th}}$  stage.

# Alpha = $\frac{1}{2}$ for the Ohio State example

We illustrate on  $W = [0, 1)$

$$m(W \cap T^{2^{2n}} W) = \frac{1}{2}$$

Otherwise

$$m(W \cap T^k W) < \frac{1}{2}$$

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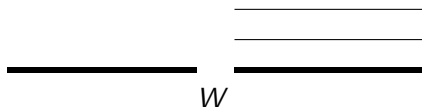
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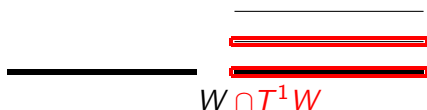
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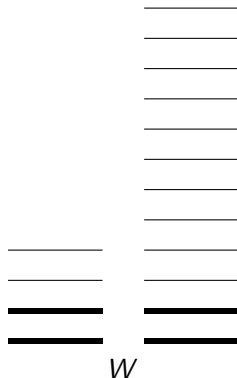
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Stage 1



Stage 2



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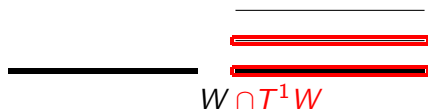
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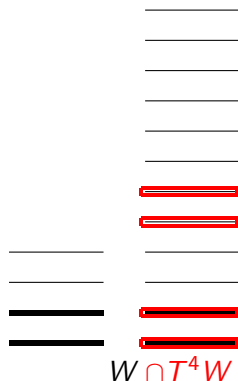
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Stage 2



# General Construction

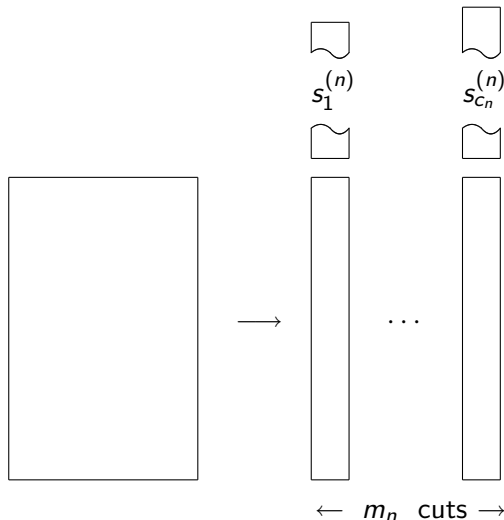
For the general construction we only need to define a sequence of Cuts and a sequence of Spacers to place atop the sub-columns before stacking (right on top of left).

- The Number of Cuts

$$m_n \geq 2$$

- The Number of Spacers

$$\mathcal{S}_n = \{s_1^{(n)}, \dots, s_{C_n}^{(n)}\}$$



# Theorems - Sufficiency for Alpha-Types

## Theorem

*If in the general construction (described above)*

- $m_k > m_{k-1}$ , the cuts are increasing
- $s_{n+1}^{(k)} \geq \sum_{i=1}^n s_i^{(k)} + n \cdot h_{k-1}$ ,  $n \geq 1$

*Then  $T$  is of 0-type.*

The first spacer  $s_1^{(k)} \geq 0$  is arbitrary - in practice it will be set to 0.

## Theorem

*If in the general construction*

- $m_k > m_{k-1}$
- $n_k < m_k$  such that  $\lim_{k \rightarrow \infty} \frac{n_k}{m_k} = \alpha$
- $s_i^{(k)} = 0$  for  $1 \leq i \leq n_k$
- $s_{j+1}^{(k)} \geq \sum_{i=1}^j s_i^{(k)} + j \cdot h_{k-1}$ ,  $j \geq n_k$

*Then  $T$  is of  $\alpha$ -type*

Note, the first  $n_k$  need only be equal. We set them to 0 for simplicity.

The first theorem follows from the second - but all the concepts can be seen in the proof of the first.

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If we don't stack the columns, we see a Skyscraper.



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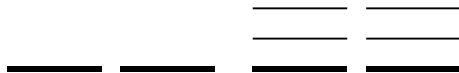
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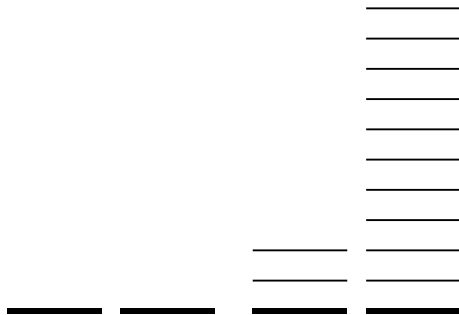
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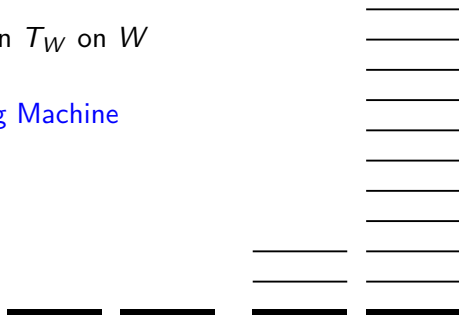
# Adding Machine Construction

If we don't stack the columns, we see a Skyscraper.

$W = [0, 1)$  is the Base.

The Induced Transformation  $T_W$  on  $W$

"is" the Dyadic Adding Machine



# Ohio State - Reconstructed

$$\Omega = \prod_{i=0}^{\infty} \{0, 1, 2, 3\}$$

$\tau = "$  + 1" (carry to the right).

$$W = \{\omega \in \Omega : \omega_i \in \{0, 1\}\} \subset \Omega$$

$$X = \{\omega : \exists N, \omega_i \in \{0, 1\} \forall i \geq N\}$$

$$\omega = (000 \dots) \in W$$

$$\tau\omega = (100 \dots) \in W$$

$$\tau^2\omega = (200 \dots)$$

$$\tau^3\omega = (300 \dots)$$

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